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SEP 11 2000

Receipt #2
FILE COPY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Nimrod Megiddo Examiner: Not yet assigned
Serial No.: 09/607,513 Group Art Unit: 2763
Filed: June 28, 2000 Docket: ARC-00-0030-US1
Title: METHOD FOR SOLVING STOCHASTIC CONTROL PROBLEMS OF LINEAR SYSTEMS
IN HIGH DIMENSION

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in a envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on September 6, 2000.

By: George H. Gates
Name: George H. Gates

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TECH CENTER 2700

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing a Certificate of Mailing under 37 CFR 1.8.
- ☒ Request for Corrected Filing Receipt and Copy of Filing Receipt.
- ☒ Return postcard.

Please consider this a **PETITION FOR EXTENSION OF TIME** for a sufficient number of months to enter these papers, if appropriate.

Please charge all fees to Deposit Account No. 09-0441 of IBM Corporation, the assignee of the present application. A duplicate of this paper is enclosed.

GATES & COOPER
Howard Hughes Center
6701 Center Drive West, Suite 1050
Los Angeles, CA 90045
(310) 641-8797

By: George H. Gates
Name: George H. Gates
Reg. No.: 33,500
GHG/sjm

(PTO TRANSMITTAL - GENERAL)

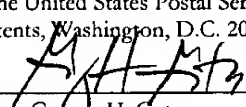
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REQUEST FOR CORRECTED FILING RECEIPT

Attn: Office of Initial Patent Examination
Customer Service Center
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Washington, D.C. 20231

Dear Sir:

Enclosed is a photocopy of the filing receipt from the U.S. Patent and Trademark Office in the above-identified application showing requested corrections. The filing receipt is erroneous in the following respects as reflected in the papers originally filed on June 28, 2000.

The requested correction is shown in **Bold** as follows:

In the address block:

George H. Gates
Gates & **Cooper**
Howard Hughes Center
6701 Center Drive West Suite 1050
Los Angeles, CA 90045

Correction of the records of the U.S. Patent and Trademark Office and issuance of a corrected filing receipt are respectfully solicited.

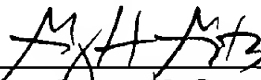
Respectfully submitted,

Nimrod Megiddo

By his attorneys,

GATES & COOPER
Howard Hughes Center
6701 Center Drive West, Suite 1050
Los Angeles, California 90045
(310) 641-8797

Date: September 6, 2000

By: 
Name: George H. Gates
Reg. No.: 33,500

GHG/sjm

FILING RECEIPT

OC00000005352163

**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**Address: ASSISTANT SECRETARY AND
COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

30879.794501

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/607,513	06/28/2000	2763	978	ARC-00-0030-US1	2	36	3

George H Gates
Gates & Cooper
Howard Hughes Center
6701 Center Drive West Suite 1050
Los Angeles, CA 90045

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Date Mailed: 08/24/2000

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Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Nimrod Megiddo, Palo Alto, CA ;

Continuing Data as Claimed by Applicant**Foreign Applications**

If Required, Foreign Filing License Granted 08/21/2000

Title

Method for solving stochastic control problems of linear systems in high dimension

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Gates & Cooper

Preliminary Class

703

Data entry by : SANDARA, HOA

Team : OIPE

Date: 08/24/2000



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Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
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Customer Service Center
Washington, DC 20231